**Fill in this part of the form together with parts A and F1 or F2, if you are applying for a new bespoke permit.**

**You also need to fill in part B3, B4, B5, B6, or B7 (depending on what activities you are applying for).**

Please check that this is the latest version of the form

available from our website.

Please read through this form and the guidance notes that came with it. All relevant guidance documents can be

found on our website.

Contents

1 About the permit

2 About the site (not mobile plant)

3 Your ability as an operator

4 Consultation

5 Supporting information

6 Environmental risk assessment

Appendix 1 – Low impact installation checklist

|  |
| --- |
| 1 About the permit |
| 1a Discussions before your application |
| If you have had discussions with us before your application, give us the case reference or details on a separate sheet. |
| Case or document reference |   |  |
| 1b Is the permit for a site or for a mobile plant? |
| Note: The term ‘mobile plant’ does not include mobile sheep dipping unit. |
| Site |[ ]  *Go to section 2* |  |
| Mobile Plant |[ ]  *Go to section 1c* |  |
| 1c Have we told you during pre-application discussions that we believe that a mobile permit is suitable for your activity? |
| No  |[ ]
| Yes |[ ]
| 1d Have there been any changes to your proposal since this discussion? |
| No |[ ]  *Go to section 3* |  |
| Yes |[ ]  Send us a description of the activity you want to carry out, highlighting the changes made since our pre-application discussions. Give us the reference, below. |
| Document reference |   | *Go to section 3* |
| 2 About the site (not mobile plant) |
| 2a What is the site name, address, postcode and national grid reference? |
| Site name |   |  |
|  |  |  |
| Address |   |  |
|  |  |  |
|  |   |  |
|  |  |  |
|  |   |  |
|  |  |  |
|  |   |  |
|  |  |  |
| Postcode |   |  |
|  |  |  |
| National grid reference for the site (12 digit) |   |  |
| 2b How many regulated facility types are you applying for? |
| One |  |[ ]  *Go to section 2c* |
| Two or more |  |[ ]  *Go to section 2d* |
| 2c What type of regulated facility are you applying for? (For one facility type only.) |
| Installation |[ ]  *Tick the relevant box in 2c1* |
| Waste operation |[ ]  *Tick the relevant box in 2c2* |
| Mining waste operation |[ ]  *Tick the relevant box in 2c3* |
| Water discharge activity (all) |[ ]  *Go to section 3d* |
| Groundwater activity (all) |[ ]  *Go to section 3d* |
| Medium Combustion Plant Only |[ ]  *Tick the relevant box in 2c4* |
| Medium Combustion Plant/Specified Generator combined |[ ]  *Tick the relevant box in 2c4* |
| Specified Generator Only |[ ]  *Tick the relevant box in 2c4* |
| What is the national grid reference for the regulated facility? (See the guidance notes on part B2 and note the different requirement for water discharge activities.) |
| As in 2a above | [ ]  |  |  |
| Different from 2a | [ ]  | Please fill in the national grid reference below |
| National grid reference for the facility |   |  |
| What is the type of activity? |
| 2c1 | Installation | 2c2 | Waste Operation |
| Intensive farming installation |[ ]  Landfill gas facility (closed landfill) |[ ]
| Local authority (Part A (2) and Part B) |[ ]  Opra charged activity |[ ]
| Low impact installation (see question 2e below) |[ ]  Tier 2 charged bespoke activity (see charging guidance for list) |[ ]
| Opra charged activity |[ ]  Pet cemetery |[ ]
| Directly associated activity |[ ]   |  |
| Paragraph-17 installation |[ ]   |  |
| 2c3 | Mining waste operation | 2c4  | Medium Combustion Plant/Specified Generator |
| Non-Opra charged activity |[ ]  Tier 2 charged complex bespoke activity (see charging guidance) |[ ]
| Opra charged activity ***Go to section 2e*** |[ ]  Tier 2 charged simple bespoke activity (see charging guidance)  |  [ ]  |
| 2d What types of regulated facilities are you applying for? (For two or more facility types.) |
| **Regulated Facility 1** |  |  |
| National grid reference (12 digit) |   |  |
|  |  |  |
| Installation  |[ ]  *Tick the relevant box in 2d1* |
| Waste operation |[ ]  *Tick the relevant box in 2d2* |
| Mining waste operation  |[ ]  *Tick the relevant box in 2d3* |
| Water discharge activity (all) |[ ]  *Go to section 3d* |
| Groundwater activity (all) |[ ]  *Go to section 3d* |
| Medium Combustion Plant only |[ ]  *Tick the relevant box in 2d4* |
| Medium Combustion Plant/ Specified Generator combined |[ ]  *Tick the relevant box in 2d4* |
| Specified Generator only |[ ]  *Tick the relevant box in 2d4* |
| 2d1 | Installation | 2d2 | Waste Operation |
| Intensive farming installation |[ ]  Landfill gas facility (closed landfill) |[ ]
| Local authority (Part A (2) and Part B) |[ ]  Opra charged activity |[ ]
| Low impact installation (see question 2e below) |[ ]  Tier 2 charged bespoke activity (see charging guidance for list) |[ ]
| Opra charged activity |[ ]  Pet cemetery |[ ]
| Directly associated activity |[ ]   |  |
| Paragraph-17 installation |[ ]   |  |
| 2d3 | Mining waste operation | 2d4 | Medium Combustion Plant/Specified Generator |
| Non-Opra charged activity |[ ]  Tier 2 charged complex bespoke activity (see charging guidance)  |[ ]
| Opra charged activity |[ ]  Tier 2 charged simple bespoke activity (see charging guidance) |[ ]
| Regulated Facility 2 |
| National grid reference (12 digit) |   |  |
|  |  |  |
| Installation  |[ ]  *Tick the relevant box in 2d1* |
| Waste operation |[ ]  *Tick the relevant box in 2d2* |
| Mining waste operation  |[ ]  *Tick the relevant box in 2d3* |
| Water discharge activity (all) |[ ]  *Go to section 3d* |
| Groundwater activity (all) |[ ]  *Go to section 3d* |
| Medium Combustion Plant only |[ ]  *Tick the relevant box in 2d4* |
| Medium Combustion Plant/Specified Generator combined |[ ]  *Tick the relevant box in 2d4* |
| Specified Generator only |[ ]  *Tick the relevant box in 2d4* |
| 2d1 | Installation | 2d2 | Waste Operation |
| Intensive farming installation |[ ]  Landfill gas facility (closed landfill) |[ ]
| Local authority (Part A (2) and Part B) |[ ]  Opra charged activity |[ ]
| Low impact installation (see question 2e below) |[ ]  Tier 2 charged bespoke activity (see charging guidance for list) |[ ]
| Opra charged activity |[ ]  Pet cemetery |[ ]
| Directly associated activity |[ ]   |  |
| Paragraph-17 installation |[ ]   |  |
| 2d3 | Mining waste operation | 2d4 | Medium Combustion Plant/Specified Generator |
| Non-Opra charged activity |[ ]  Tier 2 charged complex bespoke activity (see charging guidance) |[ ]
| Opra charged activity |[ ]  Tier 2 charged simple bespoke activity (see charging guidance) |[ ]
| **Regulated Facility 3 etc.** |
| Do you want three or more facilities? |
| No |[ ]  *Go to section 2e* |  |
| Yes |[ ]  Use a separate sheet and send it to us with your application form. Tell us below the reference you have given this separate sheet. |
| Document reference |   | *Go to section 2e* |
| 2e Low impact installations (installations only) |
| Are any of the regulated facilities low impact installations? |
| No |[ ]  *Go to section 2f* |  |
| Yes |[ ]   |  |
| Please give us a description of your proposed activity telling us how you meet the conditions for a low impact installation and send it to us with your application form. |
| Document reference |   |  |
| Tick the box to confirm you have filled in the low impact installation checklist in Appendix 1 for each regulated facility. | [ ]  |
| 2f Treating batteries |
| Are you planning to treat batteries? (See the guidance notes on part B2.) |
| No |[ ]
| Yes |[ ]  Tell us how you will do this, send us a copy of your explanation and tell us the reference you have given this explanation. |
| Document reference |   |  |
| 2g Multi-operator installation |
| If the site is a multi-operator site (that is there is more than one operator of the installation) then fill in the table below the application reference for each of the other permits. |
| Table 1 – Other permit application references |
|   |
| 3 Your ability as an operator |
| If you are only applying for a water discharge or groundwater activity, you only have to fill in question 3d. |
| 3a Relevant offences – installations, waste operations, medium combustion plant and specified generators (See the guidance notes on part B2) |
| Have you, or any other relevant person, been convicted of any relevant offence? |
| No |[ ]  *Go to section 3b* |  |
| Yes |[ ]  Please give details below |
| Title |   |  |
|  |  |  |
| First name |   |  |
|  |  |  |
| Last name |   |  |
|  |  |  |
| Date of birth (DD/MM/YYYY)  |   |  |
|  |  |  |
| Position held at the time of the offence |   |  |
|  |  |  |
| Name of the court where the case was dealt with |   |  |
|  |  |  |
| Date of conviction (DD/MM/YYYY) |   |  |
|  |  |  |
| Offence and penalty set |   |  |
|  |  |  |
| Date any appeal against the conviction will be heard (DD/MM/YYYY) |   |  |
| If necessary, use a separate sheet to give us details of other relevant offences, and tell us below the reference number you have given the extra sheet. |
| Document reference |   |  |
| 3b Technical ability - relevant waste operations only (See the guidance notes on part B2) |
| **3b1** | Which approved scheme are you using to show you have the suitable technical skills and knowledge to manage your facility? |
|  | CIWM / WAMITAB |[ ]
|  | ESA / EU |[ ]
| **3b2** | Do you already hold the relevant, formal qualifications to manage your facility? |
|  | Yes |[ ]  Tick to confirm you’ve included all original and continuing competence evidence. |[ ]
|  | No |[ ]  Tick to confirm you’ve included evidence you’ve registered with a Scheme. |[ ]
|  |
| **3c Finances (installations, waste operations, medium combustion plant, specified generators and mining waste operations only)**Do you or any relevant person have current or past bankruptcy or insolvency proceedings against you? |
| No |[ ]  *Go to section 3d.* |  |
| Yes |[ ]  Please give details below of the required set-up costs (including infrastructure), maintenance and clean up costs for the proposed facility against which a credit check may be assessed. |
|   |
| Please note: We may contact a credit reference agency for a report about your business’s finances. |
| Landfill, Category A mining waste facilities and mining waste facilities for hazardous waste only |
| How do you plan to make financial provision (to operate a landfill or a mining waste facility you need to show us that you are financially capable of meeting the obligations of closure and aftercare)? |
| Bonds |[ ]
| Escrow account |[ ]
| Trust fund |[ ]
| Lump sum |[ ]
| Other |[ ]
| Provide a plan of your estimated expenditure on each phase of the landfill or mining waste facility. |
| Document reference |   |  |
| 3d Management systems (all) |
| Your permit requires you (as the operator) to ensure that you manage and operate your activities in accordance with a written management system. You can find guidance on management systems in the guidance notes to part B2 and on our website. |
| **3d1** | Does your management system meet the conditions set out in our guidance? |
|  | Yes |[ ]   |
|  | No |[ ]   |
| **3d2** | What management system will you provide for your regulated facility? |
|  | EC Eco-Management and Audit Scheme (EMAS) |[ ]
|  | ISO 14001 |[ ]
|  | BS 8555 (Phases 1–5) |[ ]
|  | Green Dragon |[ ]
|  | Own management system |[ ]   |
| **3d3** | Make sure you included a summary of your environment management system with the application. Tick the box to conform you’ve done this and tell us the document reference, below. |[ ]
| Document reference |   |  |
| ***Water discharge activities:*** *Go to section 5.* |
| 4 Consultation (fill in 4a to 4c for installations and waste operations and 4d for installations only. Fill in 4e for medium combustion plant and specified generators only) |
| Could the waste operation or installation involve releasing any substance into any of the following? |
| 4a A sewer managed by a sewerage undertaker |
| No |[ ]
| Yes |[ ]  Please name the sewerage undertaker |   |
| 4b A harbour managed by a harbour authority |
| No |[ ]
| Yes |[ ]  Please name the harbour authority |   |
| 4c Direct into relevant territorial waters or coastal waters within the sea fisheries district of a local fisheries |
| No |[ ]
| Yes |[ ]  Please name the fisheries committee |   |
| 4d Is the installation on a site for which: |
| **4d1** | a nuclear site licence is needed under section 1 of the Nuclear Installations Act 1965? |
| No |[ ]
| Yes |[ ]   |
| **4d2** | a policy document for preventing major accidents is needed under regulation 5 of the Control of Major Accident Hazards? |
| No |[ ]
| Yes |[ ]   |
| 4e Is the medium combustion plant or specified generator located within an Air Quality Management Area (AQMA)? |
| No |[ ]
| Yes |[ ]  What is the name of the AQMA? |   |
|  |  | What is the name of the Local Authority? |   |
| 5 Supporting information |
| 5a Provide a plan or plans for the site (but not mobile plant) |
| Mark the site boundary in green (See guidance notes on part B2 for more information on what should be included) |
| Document reference |   |  |
| 5b Provide the relevant sections of a site condition/baseline report, if this applies  |
| Document reference |   |  |
| If you are applying for an installation, tick the box to confirm that you have sent in a baseline report. |[ ]
| 5c Provide a non-technical summary of your application (see the guidance notes on part B2) |
| Document reference |   |  |
| 6 Environmental risk assessment |
| Provide an assessment of the risks each of your proposed regulated facilities poses to the environment. The risk assessment must use H1 or an equivalent method. |
| Document reference |   |  |

|  |
| --- |
| Appendix 1 – Low impact installation checklist (see guidance notes on part B2) |
|  |
| Installation reference |   |
| **Condition** | **Response** | **Do you meet this?** |
| A – Management techniques | Provide references to show how your application meets A. | YesNo | [ ] [ ]  |
| References |   |
| B – Aqueous waste | Effluent created |   | m3/day | YesNo | [ ] [ ]  |
| C – Abatement systems | Provide references to show how your application meets C. | YesNo | [ ] [ ]  |
| References |   |
| D - Groundwater | Do you plan to release any hazardous substances or non-hazardous pollutants into the ground? | YesNo | [ ] [ ]  | YesNo | [ ] [ ]  |
| E – Producing waste | Hazardous waste |   | Tonnes per year | YesNo | [ ] [ ]  |
| Non-hazardous waste |   | Tonnes per year |
| F – Using energy | Peak energy consumption |   | MW | YesNo | [ ] [ ]  |
| G – Preventing accidents | Do you have appropriate measures to prevent spills and major releases of liquids?  | YesNo | [ ] [ ]  | YesNo | [ ] [ ]  |
| Provide references to show how your application meets G. |  |
| Reference |   |
| H - Noise | Provide references to show how your application meets H. | YesNo | [ ] [ ]  |
| Reference |   |
| I - Emissions of polluting substances | Provide references to show how your application meets I. | YesNo | [ ] [ ]  |
| Reference |   |
| J – Odours | Provide references to show how your application meets J. | YesNo | [ ] [ ]  |
| Reference |   |
| K – History of keeping to the regulations | Say here whether you have been involved in any enforcement action as described in Compliance History Appendix 1 explanatory notes. | YesNo | [ ] [ ]  |  |